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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Under application of :

Dated: January 27, 2005

Huajie Chen, et al.

Group Art Unit: 2822

Serial No.: 10/711,637

Examiner: not yet assigned

Filed: 09/29/04 :

International Business Machines Corporation  
2070 Route 52  
Hopewell Junction, NY 12533

**TITLE: STRUCTURE AND METHOD FOR MAKING STRAINED CHANNEL FIELD**  
**EFFECT TRANSISTOR USING SACRIFICIAL SPACER**

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure set forth in 37 C.F.R. 1.56, and further pursuant to the provisions of 37 C.F.R. 1.97 and 1.98, applicants hereby respectfully submit copies of the US patents and publications as listed on Form PTO-1449, attached hereto.

In citing these documents, no representation is made nor intended as to the pertinency or non-pertinency of the art, that better art than that listed is not available, or that other art is not applicable.

No fee is believed to be due for this submission. If any fees are required, however, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 09-0458.

Respectfully submitted,  
Huajie Chen, et al.

By Todd M.C. Li 1/26/05

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<p style="text-align: center;"><b>INFORMATION DISCLOSURE CITATION</b>  <small>(Use several sheets if necessary)</small></p> <p style="text-align: center;">JAN 31 2005</p> <p style="text-align: center;">U.S. TRADEMARK OFFICE</p>				Docket Number (Optional) <b>FIS920040070</b>	Application Number <b>10/711,637</b>		
				Applicant(s) <b>Huajie Chen, et al.</b>			
				Filing Date <b>09/29/2004</b>	Group Art Unit <b>2822</b>		
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<b>FOREIGN PATENT DOCUMENTS</b>							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
<b>OTHER DOCUMENTS</b>				<i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			
		<b>US Application: 10/605,134</b> <b>Filing Date: 09/10/2003</b> <b>Applicant: Omer H. Dokumaci, et al.</b>					
		<b>US Application: 10/604,607</b> <b>Filing Date: 08/04/2003</b> <b>Applicant: Haining S. Yang, et al.</b>					
EXAMINER				DATE CONSIDERED			
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							